

**UNITED STATES DISTRICT COURT
EASTERN DISTRICT OF TEXAS
TYLER DIVISION**

ADAPTIX, INC.,

Plaintiff,

v.

ALCATEL-LUCENT USA, INC., et al.,

Defendants.

Civil Action 6:12-cv-0022

CONSOLIDATED LEAD CASE

**JOINT NOTICE OF EXHIBITS PRESENTED AT THE NOVEMBER 18, 2015
EVIDENTIARY HEARING**

Pursuant to the Court's request at the November 18th hearing, the parties submit the following list of exhibits presented at the evidentiary hearing.

Exhibits Presented by Defendants

Transcript Lines	Briefing Exhibit	Description
11:16-17	Dkt. 535, Mot. Ex. 2	Dr. Liu's Employment Contract
14:3-4	Dkt. 535, Mot. Ex. 7	Patent and Copyright Policy, May 2, 2000 UWASH0000008
16:7-14	Dkt. 539, Resp. Ex. I	May 2, 2000 UW Patent Policy, Way Back Machine
20:22-21:12	Dkt. 440	Adaptix's Objections and Response to the Magistrate Judge' Order
23:7-25:3	Dkt. 539, Resp. Ex. A	UW Faculty Meeting Minutes
27:5-10	Dkt. 535, Mot. Ex. 17	1999 Paper, Dynamic Scheduling in Antenna Array Packet Radio, by Hui Liu and Hujun Yin
28:3-12	Dkt. 535, Mot. Ex. 1	Excerpts of Liu Transcript
30:16-31:1	Dkt. 535, Mot. Ex. 1	Excerpts of Liu Transcript
31:20-23	Dkt. 535, Mot. Ex. 1	Excerpts of Liu Transcript
32:14-33:15	Opp. Liu Decl Ex. 8, 32, 34	Faculty Merit Review Forms
34:2-7	Dkt. 535, Mot. Ex. 5	Letter Approving 2007 Sabbatical Request

56:17-57:15	Dkt. 539, Resp. Ex. I	May 2, 2000 UW Patent Policy, Way Back Machine
59:1-15	Dkt. 535, Dkt. 440	Adaptix's Objections and Response to the Magistrate Judge's Order
60:18-61:4	Dkt. 535, Mot. Ex. 1	Excerpts of Liu Transcript
110:21-111:4	Dkt. 535, Mot. Ex. 7	Patent and Copyright Policy, May 2, 2000 UWASH0000008
115:23-116:3	Dkt. 535, Mot. Ex. 2	Dr. Liu's Employment Contract
123:14-124:25	Dkt. 535, Mot. Ex. 7	Patent, Invention, and Copyright Policy, 4-99, UWASH0000009
191:16-23	Dkt. 535, Mot. Ex. 7	Patent, Invention, and Copyright Policy, 4-99, UWASH0000009
192:1-24	Dkt. 539, Resp. Ex. I	May 2, 2000 UW Patent Policy, Way Back Machine
196:14-197:7	Dkt. 535, Mot. Ex. 7	Patent, Invention, and Copyright Policy, 4-99, UWASH0000009
198:7-12	Dkt. 535, Mot. Ex. 17	1999 Paper, Dynamic Scheduling in Antenna Array Packet Radio, by Hui Liu and Hujun Yin
198:19-22	Dkt. 535, Mot. Ex. 9	'808 Patent
198:24-199:15	Dkt. 535, Mot. Ex. 1	Excerpts of Liu Transcript
203:18-23	Dkt. 535, Mot. Ex. 7	Patent, Invention, and Copyright Policy, 4-99, UWASH0000009
204:9-21	Dkt. 535, Mot. Ex. 7	Operations Manual, UWAH0000044
233:2-6	Dkt. 551, Resp. Ex. 6 and 7	Employment Agreements of Dr. Li and Dr. Mu

Exhibits Presented by Plaintiff

39:23-40:1	Dkt. 539, Resp., Gannon Decl., Exs. B and C	'808 and '283 USPTO Patent Assignments
40:6-22	Dkt. 539, Resp., Gannon Decl., Ex. F	Dr. Hui Liu University of Washington August 3, 1998 Appointment Agreement
40:23-25	Dkt. 539, Resp., Gannon Decl., Ex. O	Excerpt of Liu Transcript
41:1-15	Dkt. 539, Resp., Gannon Decl., Ex. R	Excerpt of Stoll Transcript
41:23-42:2	Dkt. 539, Resp., Gannon Decl., Ex. M	University of Washington Operations Manual
42:3-12	Dkt. 539, Resp., Gannon Decl., Ex. G	University of Washington Patent, Invention, and Copyright Policy (1992 Rev.)
43:3-11	Dkt. 539, Resp., Gannon Decl., Exs. H-L	University of Washington Patent, Invention, and Copyright Policy (1992-2015 Revs.)
44:7-45:8	Dkt. 539, Resp., Gannon Decl., Ex. F	Dr. Hui Liu University of Washington August 3, 1998 Appointment Agreement

46:8-16	Dkt. 539, Resp., Gannon Decl., Exs. P and A	UW Faculty Senate & SCIPC Meeting Minutes
49:2-8	Dkt. 539, Resp., Liu Decl. Ex. 3	Liu Bio Pages UW Website for Faculty of Engineering
49:15-50:5	Dkt. 539, Resp., Gannon Decl., Ex. W	Correspondence from Department Chair Leung Tsang to Matthew O'Donnell
50:13-51:6	Dkt. 557, Surreply, Gannon Decl., Ex. EE	Liu Application for Leave of Absence
51:7-14	Dkt. 539, Resp., Liu Decl. Ex. 1	Liu Faculty Merit Evaluation Form (2001)
51:15-24	Dkt. 539, Resp., Gannon Decl., Ex. U	Letter from Ass. Vice Provost Re: 1460 Request of Professor Hui Liu
51:24-16	Dkt. 539, Resp., Liu Decl. Ex. 2	Liu Faculty Merit Evaluation Form (2002)
53:4-16	Dkt. 539, Resp., Gannon Decl., Ex. R	Excerpt of Stoll Testimony
115:23-25; 118:8-11; 160:2-8	Dkt. 535-3, Mot. Decl. Ex. 2; Dkt. 539-7, Opp. Decl. Ex. F	Dr. Hui Liu Appointment Agreement
125:24-126:5; 162:14-24	Dkt. 539-8, Opp. Decl. Ex. H	Patent, Invention, Copyright Policy of the University of Washington (April 99)
159:1-7	Dkt. 539-3 Opp. Decl. Ex. B	Certified Assignment filed in the United States Patent Office relative to the '808 patent
161:11-16	Dkt. 539-14, Opp. Decl. Ex. M	Operations Manual for the University of Washington
169:15-22	Dkt. 539-18, Opp. Decl. Ex. G	Correspondence from Department Chair Leung Tsang to Matthew O'Donnell
173:11-21	Dkt. 539-22, Opp. Decl. Ex. U	Memo to vice provost from Howard Chizeck, department chair at University of Washington
178:21-179:6	Dkt. 543-18, Memo. Decl. Ex. Q	Series A Preferred Stock Purchase Agreement, June 21, 2000
181:18-22	Dkt. 543-19, Memo. Decl. Ex. R	Broadstorm Employee Confidential Information and Invention Assignment Agreement
183:9-17; 138:9-12	Dkt. 543-5, Memo. Decl. Ex. D	Draft Patent Application for the '808 Patent
184:24-185:10; 138:9-12	Dkt. 543-5, Memo. Decl. Ex. D	Drawings and Patent drafts of the '808 patent application
187:22-188:24	Ex. 40 to Liu Deposition	Letter from University of Washington Attorney General's Office Re: Clearwire Litigation
220:1-8	Dkt. 543, Memorandum, Gannon Decl., Ex. D	August 9, 2000 Draft Patent Application for the '808 Patent and Drawings
220:1-8	Dkt. 543, Memorandum, Gannon Decl., Exs. F & G	Excerpts of Hicks and Spencer Depositions

220:6-8	Dkt. 557, Surreply, Gannon Decl., Ex. CC	Excerpt of Hicks Deposition
220:16-18	Dkt. 543, Memorandum, Gannon Decl., Ex. I	Acampora Supplemental Expert Report
222:12-16	Dkt. 543, Memorandum, Gannon Decl., Ex. E	Liu Deposition Excerpt
222:12-16	Dkt. 543, Memorandum, Gannon Decl., Exs. R & S	Dr. Liu and Dr. Yin Broadstorm Employment Agreements
222:18-23	Dkt. 543, Memorandum, Gannon Decl., Ex. Q	Broadstorm June 21, 2000 Series A Preferred Stock Agreement
223:17-225:7	Dkt. 543, Memorandum, Gannon Decl., Ex. E	Excerpt of Liu Deposition
223:17-225:7	Dkt. 543, Memorandum, Gannon Decl., Ex. H	Email from Dr. Liu to Xiaodong Li enclosing version 6 of '808 draft application

DATED: November 25, 2015

Respectfully submitted,

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CERTIFICATE OF SERVICE

I hereby certify that counsel of record who are deemed to have consented to electronic service are being served this 25th day of November, 2015, with a copy of this document, via the CM/ECF system.

/s/ Stephen A. Swedlow

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